I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: October 11, 2005

Signature: (Raymond Garguilo, Jr.)

Docket No.: SPINE 3.0-437 CPCPCPCPCPCPCP II CON (PATENT)

Group Art Unit: 3732

Examiner: Not Yet Assigned

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Errico et al.

Application No.: 10/784,645

Filed: February 23, 2004

For: WEDGE PLATE INSERTER/IMPACTOR

AND RELATED METHODS FOR USE IN

IMPLANTING AN ARTIFICIAL INTERVERTEBRAL DISC

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

By

Dated: October 11, 2005

Respectfully submitted

Raymond Carguilo, Jr. Registration No.: 50,930

LERNER, DAVID, LITTENBERG, KRUMHOLZ & MENTLIK, LLP

600 South Avenue West Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant

PTO/SB/08a/b (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449A/B/PTO Application Number 10/784,645-Conf. #8190 INFORMATION DISCLOSURE February 23, 2004 Filing Date STATEMENT BY APPLICANT Joseph P. Errico First Named Inventor Art Unit 3732 (Use as many sheets as necessary) Not Yet Assigned Examiner Name **SPINE 3.0-437** 1 2 Sheet of Attorney Docket Number CPCPCPCPCPCP II CON

			U.S. PA	TENT DOCUMENTS		
Examin er Initials*	Cite No.1   Document Number   Number-Kind Code2 (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	AA*	US-2001/0016773-A1	00 22 2001	Serhan et al.	rigures Appear	
	AB*	US-2002/0111681-A1	08-23-2001	Raiph et al.		
	AC*					
				Ralph et al.		
	AD*	US-2003/0014112-A1		Ralph et al.	<del>  </del>	
	AE*	US-2003/0028249-A1		Baccelli et al.		
	AF*	US-2003/0055503-A1		O'Neil		
-	AG*	US-2003/0060886-A1		Van Hoeck et al. Errico et al.		
	Al*	US-2003/0069586-A1				
	AJ*	US-2003/0069642-A1		Ralph et al.		
	1	US-2003/0074067-A1		Errico et al.		
	AK*	US-2003/0149482-A1 US-2003/0204260-A1		Michelson Ferree		
	AM*	US-2003/0204260-A1				
	AN*	US-2003/0208271-A1		Kuras	<del></del>	
				Ferree		
	AO*	US-2003/0233148-A1		Ferree		
	AP*	US-2004/0002759-A1		Ferree		
	AQ*	US-2004/0002762-A1		Hawkins		
	AR*	US-2004/0010316-A1		William et al.		
	AS*	US-2004/0030389-A1	02-12-2004	Ferree		
	AT*	US-2004/0030390-A1		Ferree		
	AU*		02-19-2004	Errico et al.		
	AV*	US-3,867,728	02-25-1975	Stubstad et al.		
	AW*	US-4,309,777	01-12-1982	Patil	· · · · · · · · · · · · · · · · · · ·	
	AX*	US-4,605,417	08-12-1986	Fleischauer		
	AY*	US-4,759,766	07-26-1988	Buettner-Janz et al.		
	AZ*	US-4,759,769	07-26-1988	Hedman et al.		
	AA1*	US-4,932,969	06-12-1990	Frey et al.		
	AB1*	US-4,997,432	03-05-1991	Keller		
	AC1*	US-5,236,460	08-17-1993	Barber		
	AD1*	US-5,314,477	05-24-1994	Marnay		
	AE1*	US-5,370,697	12-06-1994	Baumgartner		
	AF1*	US-5,401,269	03-28-1995	Buttner-Janz et al.		
	AG1*	US-5,425,773	06-20-1995	Boyd et al.		
	AH1*	US-5,507,816	04-16-1996	Bullivant		
	Al1*	US-5,556,431	09-17-1996	Buttner-Janz		
	AJ1*	US-5,562,738	10-08-1996	Boyd et al.		
	AK1*	US-5,676,701	10-14-1997	Yuan et al.	·	
	AL1*	US-5,683,465	11-04-1997	Shinn et al.		
	AM1*	US-5,782,832	07-21-1998	Larsen et al.		
	AN1*	US-5,893,889	04-13-1999	Harrington		
	AO1*	US-5,895,428	04-20-1999	Berry		
	AP1*	US-5,899,941	05-04-1999	Nishijima et al.		
	AQ1*	US-5,989,291	11-23-1999	Ralph et al.		
ı	AR1*	US-6,039,763	03-21-2000	Shelokov		

Examiner	Date
Signature	Considered

PTO/SB/08a/b (07-05)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/B/PTO		Complete if Known			
				Application Number	10/784,645-Conf. #8190\
l IN	<b>IFORMATION</b>	N DI	SCLOSURE	Filing Date	February 23, 2004
STATEMENT BY APPLICANT				First Named Inventor	Joseph P. Errico
			_	Art Unit	3732
	(Use as many sheets as necessary)			Examiner Name	Not Yet Assigned
Sheet	2	of	2	Attorney Docket Number	SPINE 3.0-437 CPCPCPCPCPCP II CON

AS1*	US-6,368,350	04-09-2002	Erickson et al.	
AT1*	US-6,375,682	04-23-2002	Fleischmann et al.	
AU1*	US-6,517,580	02-11-2003	Ramadan et al.	
AV1*	US-6,582,468	06-24-2003	Gauchet	
AW1*	US-6,610,092-A1	08-26-2003	Ralph et al.	
AX1*	US-6,645,249	11-11-2003	Ralph et al.	
AY1*	US-6,673,113	01-06-2004	Ralph et al.	
AZ1*	US-6,682,562	01-27-2004	Viart et al.	
AA2*	US-6,706,068	03-16-2004	Ferree	

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.